

01/03/01

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PATENT

Customer ID: 24981

Docket No. IL-10507

Commissioner for Patents

Washington, DC 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):  
**Eric C. Honea and Raymond J. Beach**

1c853 U.S. PTO  
 09/752848  
 12/29/00

For (title): **Parasitic Oscillation Suppression in Solid State Lasers using Optical Coatings**

## 1. Type of Application

- ☒ This new application is for an original patent.  
☐ This new application is a:  
☐ Division  
☐ Continuation  
☐ Continuation-in-part (CIP)

## 2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s):

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No.

Filing Date

## 4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

18 Pages of specification4 Pages of claims1 Pages of abstract3 Sheets of drawings☒ formal☐ informal

## 5. Additional papers enclosed

- ☐ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
  - ☒ inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
  - ☒ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	8	-20 =		x \$18 =	\$ .00
Independent Claims	3	-3 =		x \$80 =	\$ .00
				Multiple Claims =	\$
				Basic Filing Fee =	\$ 710.00
				Sub-Total =	\$ 710.00
				Small Entity Filing Fee=	\$ 355.00

10. **Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ 355.00

11. **Fee Payment**

- ☐ Not Enclosed  
☒ Enclosed (See Account No. Below)

**Total Basic Filing Fees To Be Paid** \$ 355.00

12. **Method of Payment of Fees**

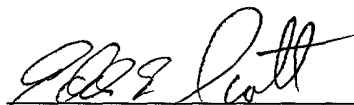
- ☐ Check in the Amount of \$ \_\_\_\_\_  
☒ Charge Account No. 12-0695 in the amount of \$ 355.00

**A duplicate of this transmittal is attached.**

13. **Instructions As To Overpayment/Underpayment**

- ☒ credit/charge  
Account No. 12-0695  
☐ refund

Dated: December 28, 2000



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eric C. Honea et al

Attorney Docket No. : IL-10507

Serial No. :

Art Unit:

Filed :

Examiner:

For : Parasitic Oscillation Suppression  
in Solid State Lasers using Optical Coatings

Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL533020338US

Date of Deposit December 29, 2000

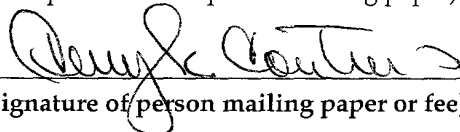
I hereby certify that the following *attached*

Express Mail Certificate;  
Recordation Cover Sheet and Assignment (2 sheets);  
New Application Transmittal (original and duplicate);  
Application (Specification 18 pages, Claims 4 pages,  
Abstract 1 page); Combined Declaration and Power of Attorney (3 pages);  
Three (3) sheets informal drawings; and return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Terry K. Contreras

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)

